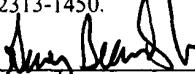


PATENT  
ATTORNEY DOCKET NO. 07678/078003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dongmei Xu and Mark T. Nielsen Art Unit: Not yet assigned  
Serial No.: Not yet assigned Examiner: Not yet assigned  
Filed: July 24, 2003 Customer No.: 21559  
Title: DUPLICATED CASSAVA VEIN MOSAIC VIRUS ENHancers AND USES THEREOF

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the enclosed Form PTO-1449.

Submission of this statement is not a representation that a search has been made, nor is the inclusion of information in this statement an admission that the information is material to patentability.

Under 35 U.S.C. § 120, this application relies on the earlier filing date of application serial number 09/641,466, which was filed on August 18, 2000. The following references were submitted to and/or cited by the Office in the prior application and, therefore, copies of these references are not provided for this application.

U.S. Patents

|           |          |                  |
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| 5,352,605 | 10/4/94  | Fraley et al.    |
| 5,164,316 | 11/17/92 | McPherson et al. |
| 5,196,525 | 3/23/93  | McPherson et al. |
| 5,322,938 | 6/21/94  | McPherson et al. |
| 5,359,142 | 10/25/94 | McPherson et al. |
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WO 97/48819      12/24/97      WIPO

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Other Publications

Comai et al., "Novel and Useful Properties of a Chimeric Plant Promoter Combining CaMV 35S and MAS Elements," *Plant Mol. Biol.* 15:373-381 (1990)

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Sundaresan et al., "Patterns of Gene Action in Plant Development Revealed by Enhancer Trap and Gene Trap Transposable Elements," *Genes Dev.* 9:1797-1810 (1995).

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Verdaguer et al., "Functional analysis of the Cassava Vein Mosaic Virus Promoter and its Usage for Cassava Genetic Engineering," *Trop. Agric.* 75:317-319 (1998).

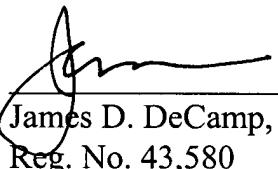
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If there are any charges or any credits, please apply them to Deposit Account No.

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Respectfully submitted,

Date: 24 July 2003

  
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| SUBSTITUTE FORM PTO-1449<br>(MODIFIED) U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE   |   |                  |                          | Attorney Docket No.<br><br>Serial No.<br><br>Applicant<br><br>Filing Date<br><br>Group<br><br>IDS Filed | 07678/078003<br><br>Not Yet Assigned<br><br>Dongmei Xu et al.<br><br>July 24, 2003<br><br>Not Yet Assigned<br><br>July 24, 2003 |                              |
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| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Use several sheets if necessary)   |   |                  |                          | (37 CFR §1.98(b))   |   |                              |
| <b>U.S. PATENTS</b>   |   |                  |                          |   |   |                              |
| Examiner's Initials   | Patent Number   | Issue Date       | Patentee                 | Class   | Subclass  | Filing Date (If Appropriate) |
|   | 5,352,605   | 10/4/94          | Fraley et al.            |   |   |                              |
|   | 5,164,316   | 11/17/92         | McPherson et al.         |   |   |                              |
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| <b>FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION</b>   |   |                  |                          |   |   |                              |
| Examiner's Initials   | Document Number   | Publication Date | Country or Patent Office | Class   | Subclass  | Translation (Yes/No)         |
|   | WO 97/48819   | 12/24/97         | WIPO                     |   |   |                              |
|   | WO 97/47756   | 12/18/97         | WIPO                     |   |   |                              |
| <b>OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)</b>  |   |                  |                          |   |   |                              |
|   | Comai et al., "Novel and Useful Properties of a Chimeric Plant Promoter Combining CaMV 35S and MAS Elements," <i>Plant Mol. Biol.</i> 15:373-381 (1990)   |                  |                          |   |   |                              |
|   | Gardner, "Plant Viral Vectors: CaMV as an Experimental tool," <i>Genetic Engineering of Plants, and Agricultural Perspective, Proceedings of a Symposium held August 15-19, 1982 at the University of California, Davis, California, Kusuge et al., Ed., pp. 124-125.</i> |                  |                          |   |   |                              |
|   | Guilley et al., "Transcription of Cauliflower Mosaic Virus DNA: Detection of Promoter Sequences, and Characterization of Transcripts," <i>Cell</i> 30:763-773 (1992).   |                  |                          |   |   |                              |
|   | Hohn et al., "Cauliflower Mosaic Virus on its Way to Becoming a Useful Plant Vector" <i>Current Topics in Microbiology and Immunology</i> 96:194-236 (1982).  |                  |                          |   |   |                              |
|   | Howell et al., "Cloned Cauliflower Mosaic Virus DNA Infects Turnips ( <i>Brassica rapa</i> )," <i>Science</i> 208:1265-1267 (1980).   |                  |                          |   |   |                              |
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|   | Sundaresan et al., "Patterns of Gene Action in Plant Development Revealed by Enhancer Trap and Gene Trap Transposable Elements," <i>Genes Dev.</i> 9:1797-1810 (1995).  |                  |                          |   |   |                              |
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| EXAMINER  |   |                  | DATE CONSIDERED          |   |   |                              |
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| SUBSTITUTE FORM PTO-1449<br>(MODIFIED)  |   | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE                            |                          | Attorney Docket No. | 07678/078003      |                              |
|---|---|---|--------------------------|---------------------|-------------------|------------------------------|
|   |   | INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Use several sheets if necessary) |                          | Serial No.          | Not Yet Assigned  |                              |
|   |   |   |                          | Applicant           | Dongmei Xu et al. |                              |
|   |   |   |                          | Filing Date         | July 24, 2003     |                              |
|   |   |   |                          | Group               | Not Yet Assigned  |                              |
|   |   | (37 CFR §1.98(b))   |                          | IDS Filed           | July 24, 2003     |                              |
| <b>U.S. PATENTS</b>   |   |   |                          |                     |                   |                              |
| Examiner's Initials   | Patent Number   | Issue Date  | Patentee                 | Class               | Subclass          | Filing Date (If Appropriate) |
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| <b>FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION</b>   |   |   |                          |                     |                   |                              |
| Examiner's Initials   | Document Number   | Publication Date  | Country or Patent Office | Class               | Subclass          | Translation (Yes/No)         |
|   |   |   |                          |                     |                   |                              |
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| <b>OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)</b>  |   |   |                          |                     |                   |                              |
|   | Verdaguer et al., "Functional analysis of the Cassava Vein Mosaic Virus Promoter and its Usage for Cassava Genetic Engineering," <i>Trop. Agric.</i> 75:317-319 (1998). |   |                          |                     |                   |                              |
|   | Verdaguer et al., "Functional Organization of the Cassava Vein Mosaic Virus (CsVMV) Promoter," <i>Plant Molecular Biology</i> 37:1055-1067 (1998).                      |   |                          |                     |                   |                              |
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